Comr. of Patents & Trademarks Washington, DC 20231

BIH 4/3/01

Sir: By placing your receiving stamp hereon & mailing, kindly acknowledge receipt of:

YAMAGUCHI et al - national stage application of PCT/JP99/05451 including Transmittal Letter to the US Designated/Elected Office Concerning a Filing Under 35 USC 371 (in duplicate), English translation of international application (29 pages of spec., 10 claims (2 pages), abstract (1) page) unexecuted Declaration, copy of Request Form in Japanese & English (4 sheets), Information Disclosure Statement, form PTO-1449 (1 sheet), 2 references, copy of Int'l Search Report mailed 12/14/99, Preliminary Amendment, check for \$932.00 to cover filing fee, filed via Express Mail on April 3, 2001, Express Mail No. Ek 724209695 US

FJIN-109 due 4/6/01

JC87 Rec'd PCT/PTO 0 3 APR 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

YAMAGUCHI et al.

Serial No.

National Stage Application of

PCT/JP99/05451

Filed

Concurrently Herewith

For

CONTROLLED-RELEASE ORAL PREPARATION OF

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## INFORMATION DISCLOSURE STATEMENT

Box PCT Assistant Commissioner For Patents Washington, DC 20231

Sir:

The citations enumerated on the list of references cited (modified Form PTO-1449) appended hereto may be material to the patentability of the above-identified application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR 1.56. The Examiner is requested to make these citations of official record in this application by initialling the citations on the enclosed modified Form PTO-1449.

The pertinency of each reference listed in the enclosed form PTO-1449 is set forth in the December 14, 1999 Search Report for the corresponding International Application No. PCT/JP99/05451. A copy of the December 14, 1999 Search Report is enclosed to show the pertinency of the listed references. U. S. Patent No. 4,704,285 is an English language equivalent of JP 62-120323. U. S. Patent No. 5,455,268 is an English language equivalent of JP 6-312925. A copy of each U.S.

YAMAGUCHI et al. - National Stage Application of PCT/JP99/05451 Information Disclosure Statement

patent is enclosed. Copies of the listed Japanese references should have been supplied with the International Search Report. However, copies of the listed references will be furnished if requested.

This Information Disclosure Statement Under 37 CFR 1.56 is not to be construed: 1) as an admission that the information cited is, or is considered to be material to patentability as defined in 37 CFR 1.56(b), 2) as a representation that a search has been made, 3) that additional information that may be material to the patentability of this application does not exist, or 4) that any one or more of these citations constitute prior art under 35 USC 102.

Respectfully submitted,

Barry I. Hollander Reg. No. 28,566

Hollander Law Firm, P.L.C. Suite 305, 10300 Eaton Place Fairfax, Virginia 22030 (703) 383-4800

Enclosures:

Form PTO 1449 (1 sheet)
Copy of December 14, 1999 Search Report
from Int. Appln. No. PCT/JP99/05451
Copies of two U.S. patents

April 3, 2001

Sheet 1 of 1

FORM PTO-1449 INFORMATION DISCLOSURE CITATION (modified)		Atty Docket: FJIN	Atty Docket: FJIN-109		Serial No.: national stage of PCT/JP99/05451			
		Applicant: YAMA	Applicant: YAMAGUCHI et al.					
		Filing Date: Cond	Filing Date: Concurrently Herewith		Group: not yet assigned			
		U.S. PATER	T DOCUMENTS					
Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Filing Date		
	4,704,285	November 1987	Alderman					
	5,455,268	October 1995	Watanabe et al.					
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		FOREBUH PA	TENT OCCURENTS			<u> </u>		
Examiner Document initial Number		Date	Country	Class	Sub- Class	Trans Yes	lation No	
	8-312925	Nov. 8, 1994	Japan				<u> </u>	
	10-226641	Aug. 25, 1998	Japan					
	62-120323	June 1, 1987	Japan					
	4-264022	Sept. 18, 1992	Japan					
	6-9407	Jan. 18, 1994	Japan					
	OTHER DOCUM	ENTS (including A	uthor, Title, Date, Perti	nent Pages, et	g.)	.,,,		
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